

# Learning Space Exploration Agents: Opportunities and Challenges

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# Outline of Talk

- Motivating NASA Missions
- Why Safe Learning
- Work at JPL in Adaptive Problem Solving

# Motivation

- NASA wants to explore hostile, unpredictable environments
- Ambitious Science Goals require survival for extended durations (up to decades)
- In order to meet these mission requirements adaptation is *required!*

# A Motivating Example



# Unknowns and Impact on Operations



- Thickness and composition of ice-cap
  - energy expended to penetrate surface
  - data volume and type collected
  - ability to communicate while below cap (reliability, rate)
  - effectiveness of melting strategies (fast v. slow)
- Properties of underground ocean
  - energy and time cost to move/explore
  - effectiveness of sensors (reliability, range, discriminability)
  - ability to communicate
  - predictability of above

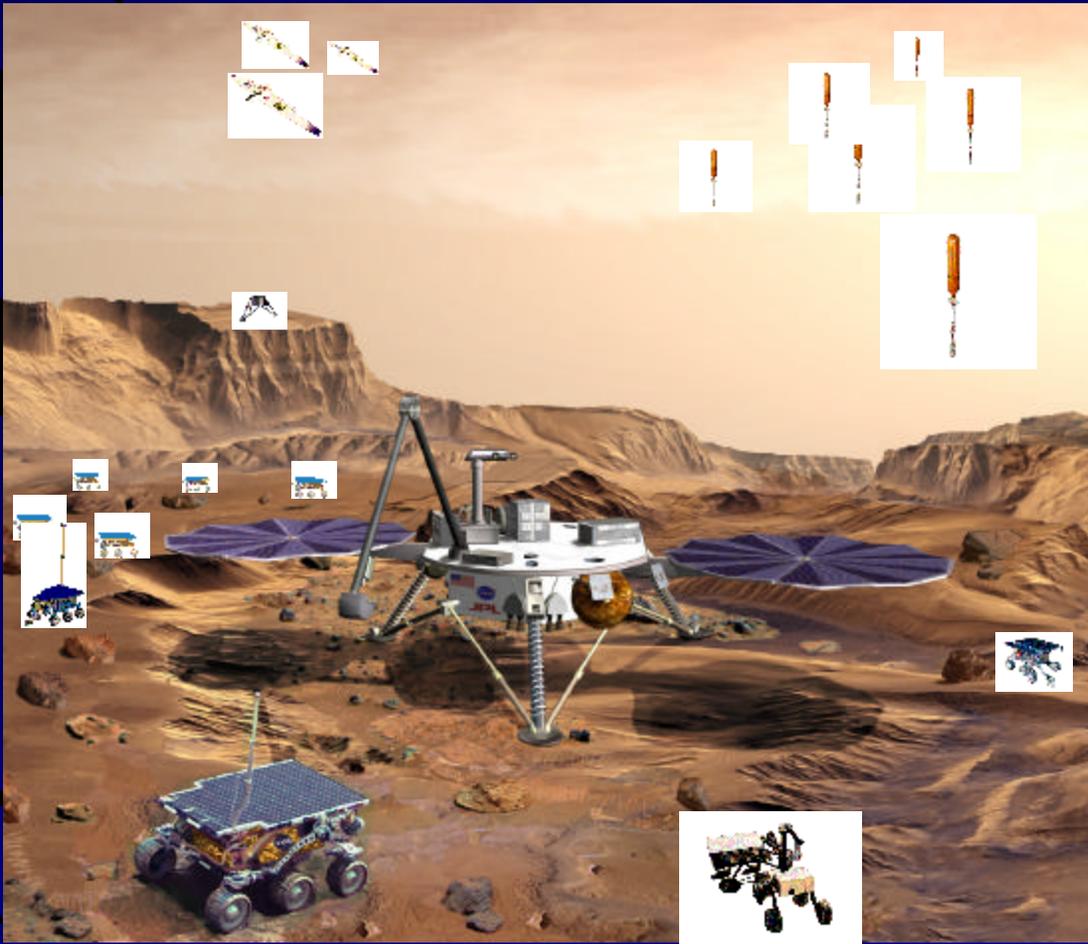
# Comet Lander

## Examples of Unknowns and Impact on Planning

- Hardness of surface
  - time to drill to specified depth
  - power consumption of drilling activities
- Outgassing properties of comet under solar illumination
  - affects lighting for pictures
  - may affect communications links



# Mars Robotic Outpost



- Long-term environmental changes (general warming trend)
- Medium-term environmental changes (seasons)
- Shorter-term environmental changes (storms)
- Hardware degradation
- Communications performance
- Mobility
- Sensor effectiveness

...

Adaptive, self-organizing Exploration Agents

- conduct extended (decades long) environmental and geological Martian survey

# Learning is Key

- To adapt performance to unknown environment
  - For survivability
  - For efficient operations
    - unknown effectiveness of operations
- To adapt to changing environment
  - Climate, seasons
  - Shorter term variations (storms, day/night)
- To adapt to hardware degradation
  - Even more important for swarms
    - role assignment

# Safe Learning is Key

- Flight Project Community is very risk averse
  - Some reward for accomplishing more science
  - Huge penalty for loss of mission
- Missions represent enormous investment
  - Smaller missions \$300M range
  - Larger missions \$1B range
  - All represent ~10 year investment of institution (conception to completion of operations)
- Decision to use technology is based on
  - Gain from technology use
  - Risk from technology use (mission loss, cost, schedule)!

# Important Classes of Learning

## ■ Off-line

- Train on large datasets off-line to optimize eventual on-line performance
  - Requires data (simulator)
  - How to get training set, what if training set not realistic
  - Validate performance on dataset, realism of dataset

## ■ On-line

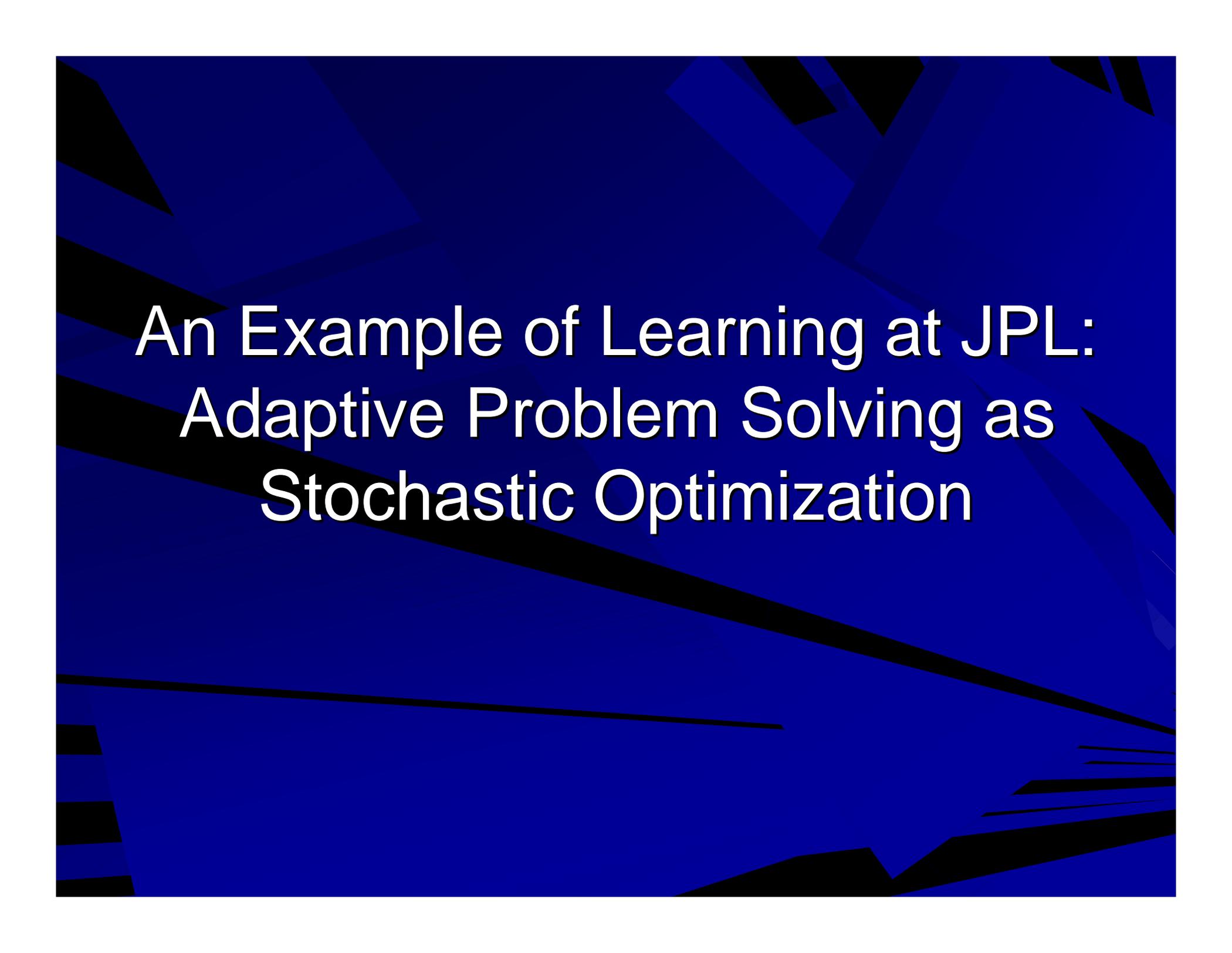
- Learn “on the fly”
  - Can adapt to unpredicted variations
  - Can learning keep up with variation rate (learning ~ variation rate mismatch)
  - Validate learning algorithms reliability!

## ■ Hybrids possible

- On-line adapt to strategies learned off-line
  - Validate off-line algorithm performance and on-line selection

# Summary - Motivation

- NASA has critical need for learning systems
- New classes of missions are enabled by effective, safe learning
- Timescale of these missions is such that the technology must be mature in ~2005 timeframe
  - Tremendous opportunity for these technologies and for NASA

The background of the slide is a solid dark blue color. It is overlaid with several large, black, angular geometric shapes that create a sense of depth and movement, resembling a stylized landscape or a complex architectural structure. The shapes are layered, with some appearing to recede into the distance and others coming forward.

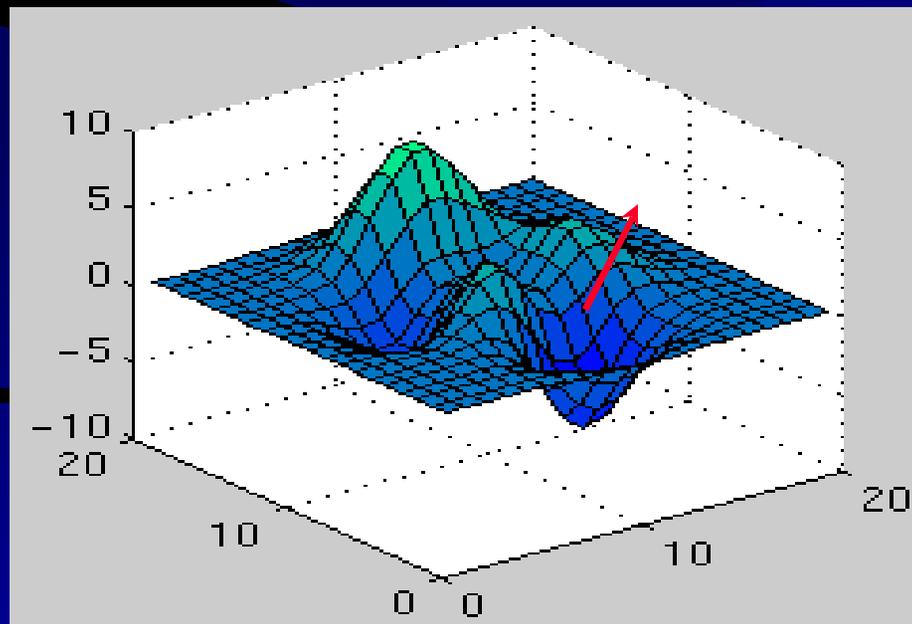
# An Example of Learning at JPL: Adaptive Problem Solving as Stochastic Optimization

# Adaptation for Autonomy

- Cannot construct optimal control strategy for autonomous spacecraft before mission
  - Knowledge about the spacecraft environment is required
  - Domain shift or unknown situations may occur during mission (e.g., changing environment, spacecraft degradation, failures, ...)
- ***Adaptive problem-solving*** enables self-modification of the control strategy based upon environmental feedback
- Two parts of adaptation in stochastic environment:
  - generation of candidate control strategies
  - evaluation of control strategies

# Stochastic Optimization

- Gradients can provide valuable information to guide search in strategy optimization space



Search space is space of control strategies for problem-solver

Each point in the space is a specific search strategy

The expected utility of a point can only be estimated stochastically because we can only score a strategy on a specific problem and expected utility is average score over an unknown problem distribution

# Stochastic Optimization

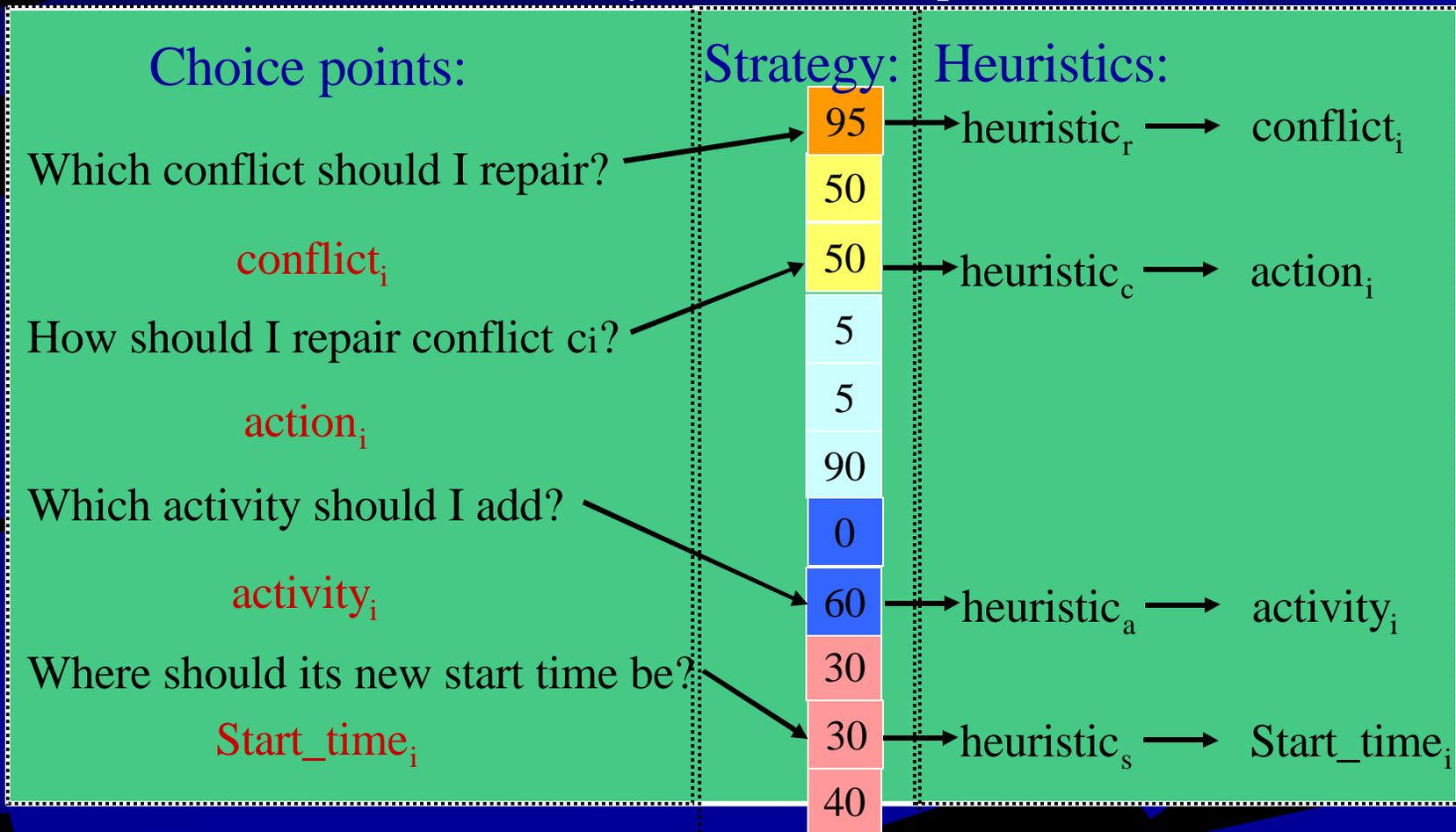
- Knowing surface of search space could help decide search algorithms
- Can local search techniques work?
  - How well do they perform?
  - What are the characteristics (smoothness, local maxima, ...) of the surface defining the search space?
  - How do automated approaches compare to human expert best solutions?

# Generic Planning System

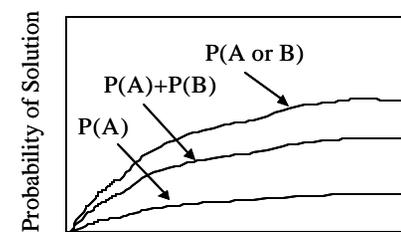
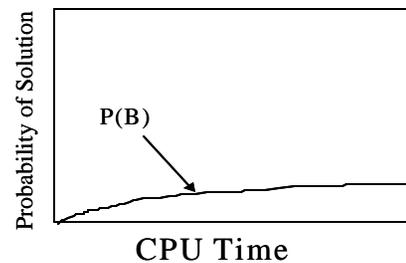
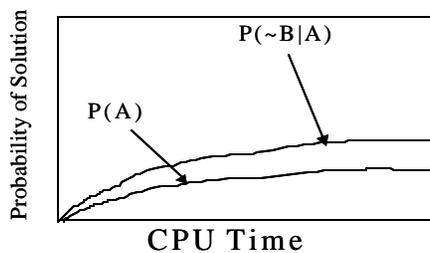
- Adaptive problem solving is applied to the generic planning system ASPEN.
  - Automatically generates a sequence of activities to accomplish input goals.
  - Attacks individual conflicts (related to resources, states, or activity parameters) using iterative repair.
  - Control strategy determined through a set of heuristics which chooses the modifications taken to repair conflicts at certain points in the search (“choice points”)

# Strategy Vector

For each iteration of repair, ASPEN makes choices about what repairs to perform. The strategy vector is a set of weights which determines which heuristic to choose stochastically at each choice point.



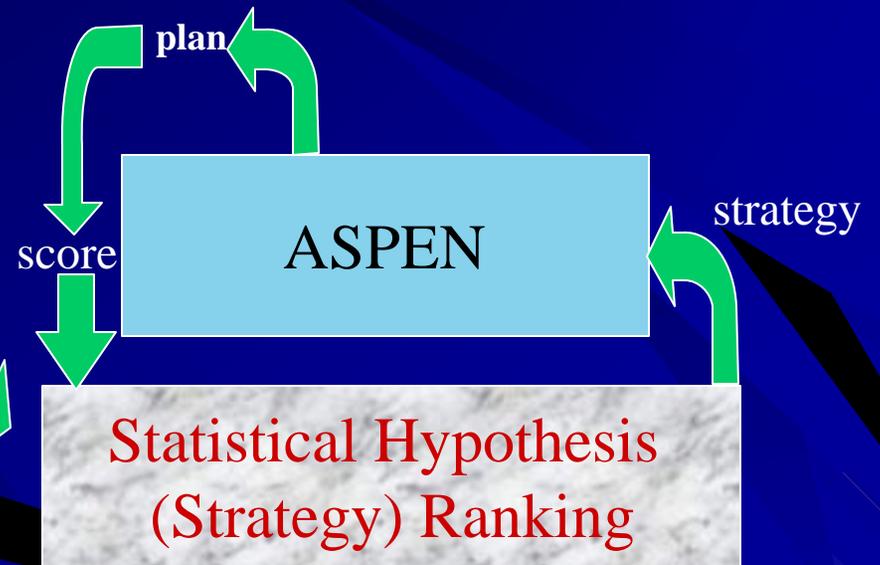
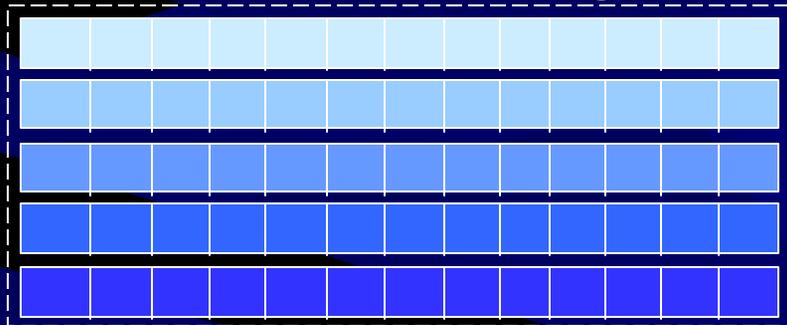
# Portfolio Synergy



Complementary portfolio algorithms increase Portfolio robustness.

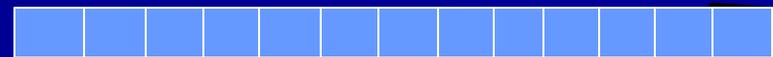
# Adaptive Problem Solving

Set of control strategies

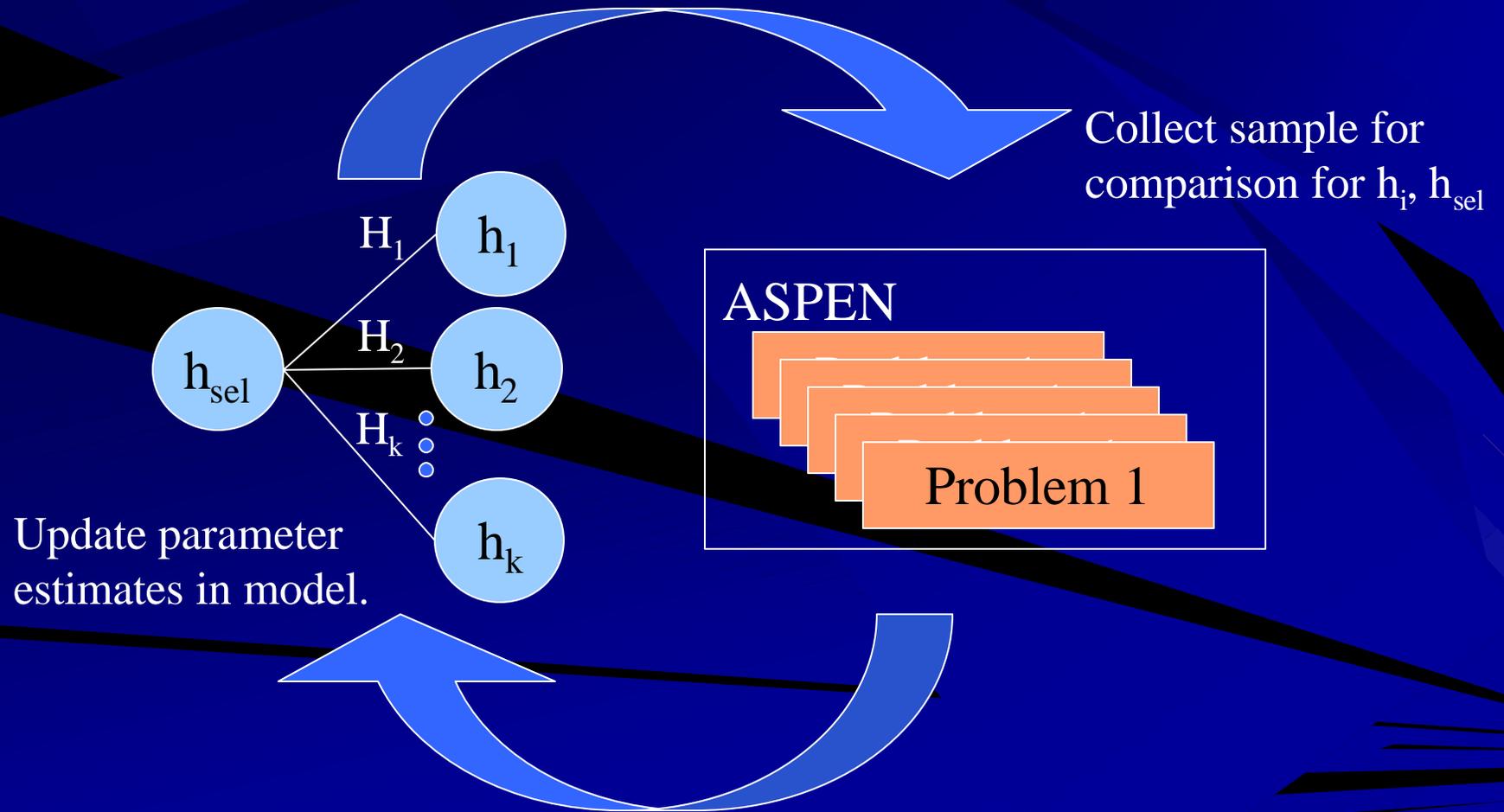


Strategy Generator

Top strategy



# Selection Procedure



# Decision Criterion

Probably Approximately Correct (PAC) Requirement:  
Hypothesis estimated to be the best must be within some user-specified constant  $\epsilon$  distance from the true best hypothesis with probability  $1 - \delta$ .

To bound the overall error, we must bound the sum of the errors for the  $k-1$  comparisons:

$$\Pr \left[ \bigcup_{i=1}^n (\hat{U}(h_i) - \hat{U}(h_{sel}) > \epsilon) \right] < \delta$$

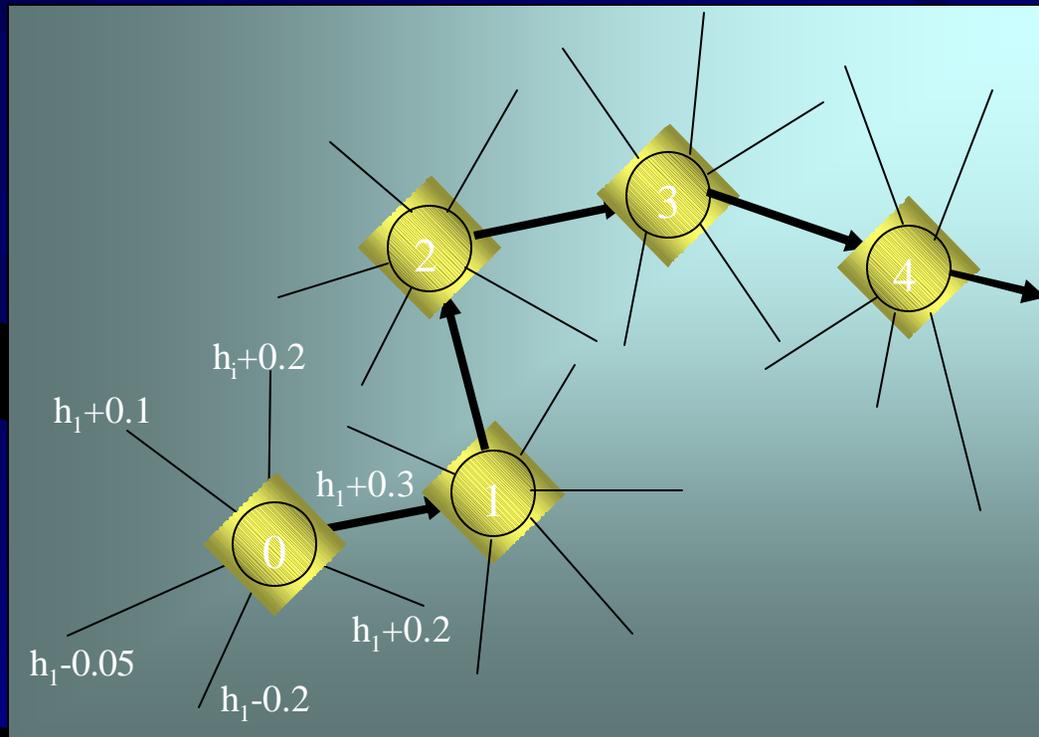
Given the normality assumption, the probability of incorrect selection for a pair-wise comparison,  $\alpha_i$ , is:

$$\alpha_i = \Phi \left( - (H_{sel} - H_i) \frac{\sqrt{n}}{\sqrt{s_{sel,i}^2}} \right)$$

We can use this estimate to determine the number of samples needed to achieve a specified error bound:

$$n_{i,sel} = \frac{s_{sel,i}^2}{(H_{sel} - H_i)^2} [\Phi^{-1}(\alpha_i)]^2$$

# Search Procedure



At each step, hypothesis  $h_i$  is the starting point for step function  $f_j$ , which is used to generate the next set of hypotheses to evaluate.

# Search Algorithms

## ■ Local Beam Search:

- Select top  $b$  hypotheses using PAC with confidence  $c$
- Generate next set of hypotheses in the *neighborhood* of these hypotheses

## ■ Genetic Algorithm:

- Stochastically choose parent hypotheses, ranked using PAC requirement, based on ranking
- Using crossover, mutation, and reproduction with given probability, generate offspring from the parents

## ■ Random Search:

- Hypothesis is a random point from the search space

# Earth Orbiter-1

## ■ Science Activities

- imaging surface targets using advance multi-spectral imaging device.
- Calibration

## ■ Engineering activities

- locking solar array drive (SAD)
- Uplink data
- Downlink data
- pointing imaging device
- maneuvering/rolling spacecraft
- warm-up/turn on thruster

## ■ Resources

- Solar array
- Aperture cover
- Processor
- Memory
- Wideband recorder processor
- Battery
- Heater
- Propellant

# Deep Space 4 (CNSR)

## ■ Sample Activities

- Move the drill to the hole
- Drill the hole (mining)
- Move sample to oven and deposit
- Use oven (bake sample, take data)
- Let oven cool down before re-use

## ■ Imaging activities

## ■ Engineering activities

- uplink from lander to spacecraft
- compress data in buffer

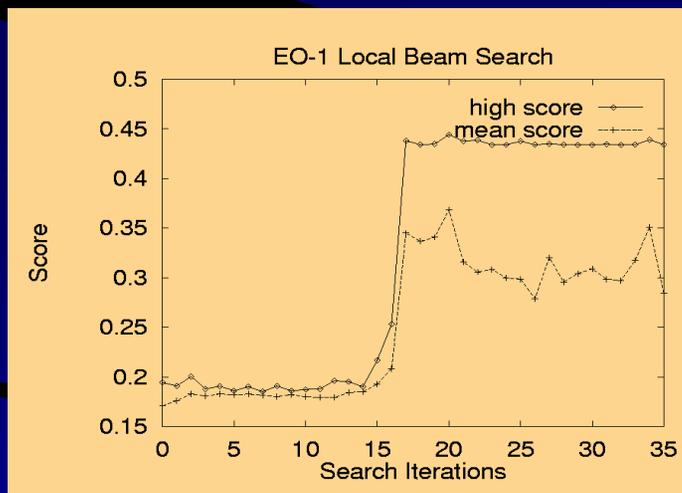
## ■ Resources

- Comm system
- Data Buffer
- Battery charge level
- Power Level
- Drill
- Camera (CIVA)
- Oven (2) state \*
- Drill Location
- Camera state \*
- Comm state \*

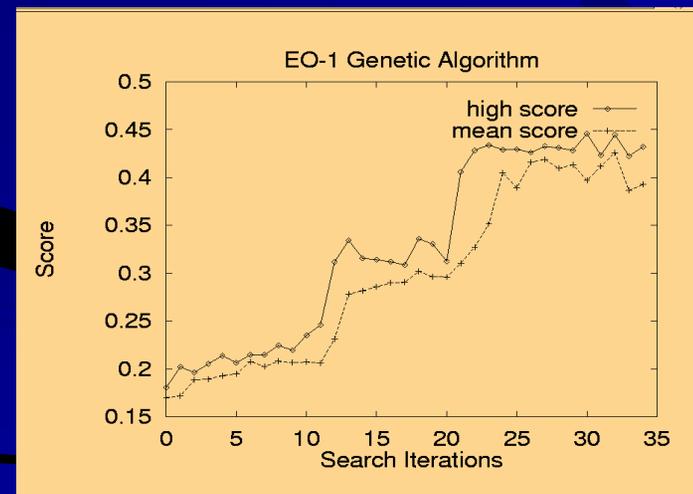
\* can be failed

# Results

- Evaluated on three spacecraft models: Earth Observer 1 (EO-1), Space Technologies Four Landed Operations (ST-4), Rocky-7 Mars Rover Domain.



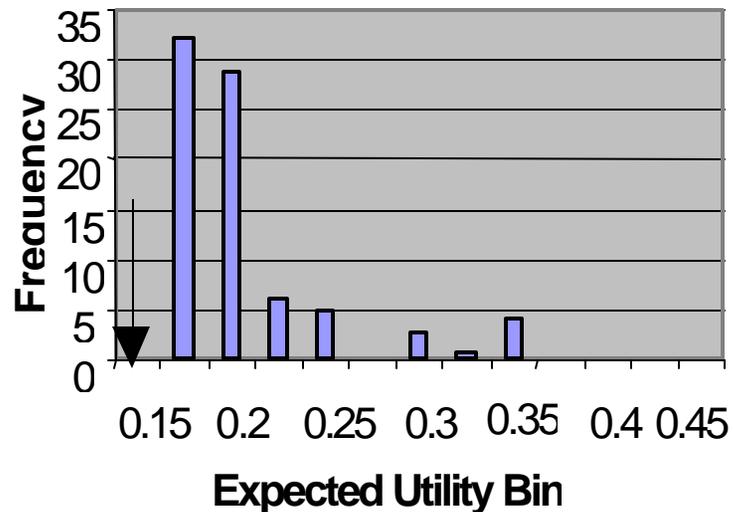
128% improvement in high score from original hypothesis



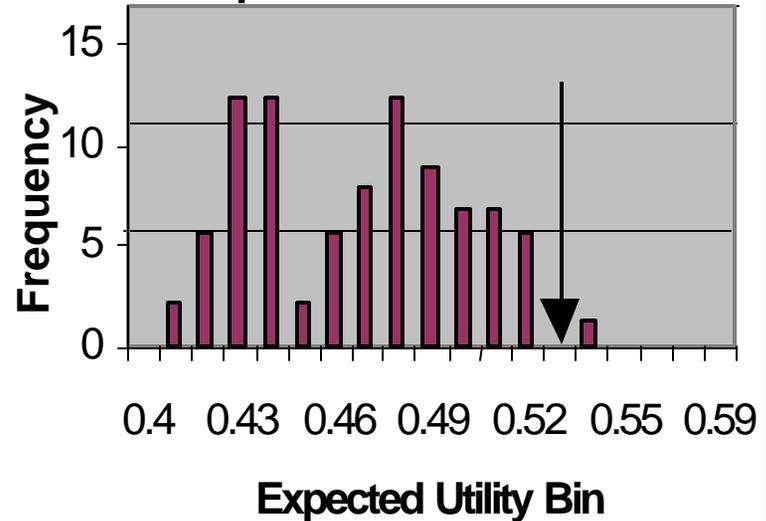
147% improvement in high score from original hypothesis

# Machine vs. Human Expert

Histogram of Random Samples  
For EO-1 Domain



Histogram of Random  
Samples For Comet Lander



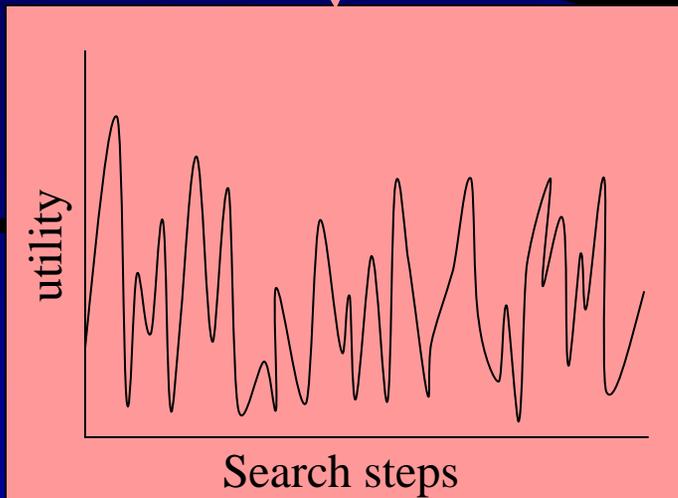
Arrows represent human expert strategy

Notice that in both cases, random sampling found higher scoring hypotheses than the expert with 100 samples

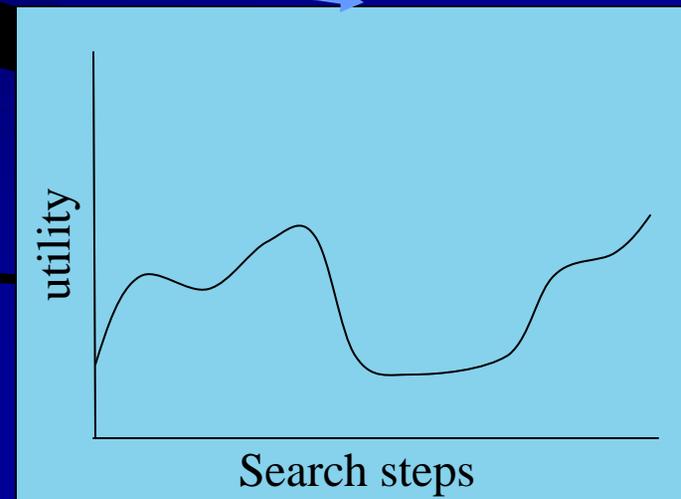
# Smoothness Property

Domain	Random Search		Local Beam Search		Genetic Search	
	Mean	Std Dev	Mean	Std Dev	Mean	Std Dev
Comet Lander	0.0435	0.0293	0.0086	0.0066	0.0134	0.0093
EO-1	0.0442	0.0466	0.0114	0.0331	0.0145	0.0244

The *mean* shows the average distance between two steps of the search, which is a measure of the smoothness of the step function.



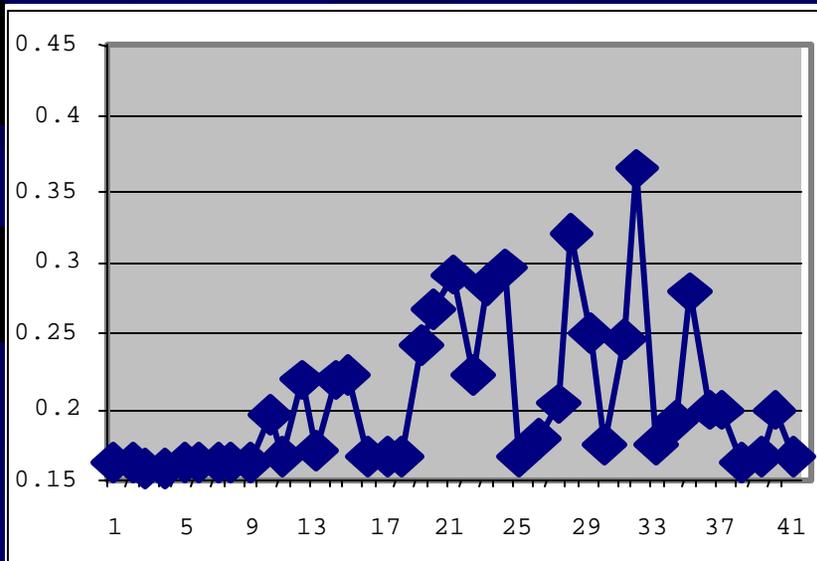
Rougher, less continuous



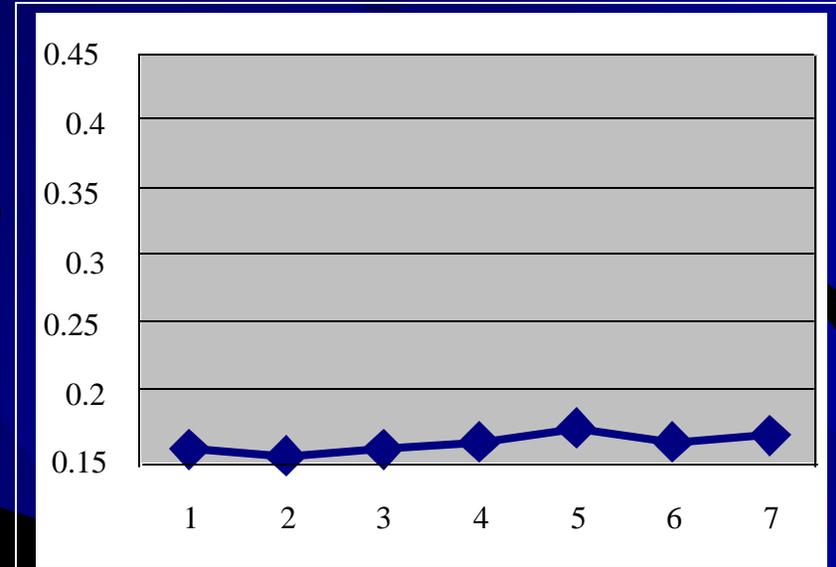
Smoother, more continuous

# Valley Hypothesis

Beam search walk for EO-1



Genetic search walk for EO-1



Valley hypothesis: the human expert hypothesis is in a large valley of local minima.

Multiple walks starting at the human expert hypothesis show that it takes many steps to escape the local minimum surrounding the expert strategy

# Variable Learning Rates

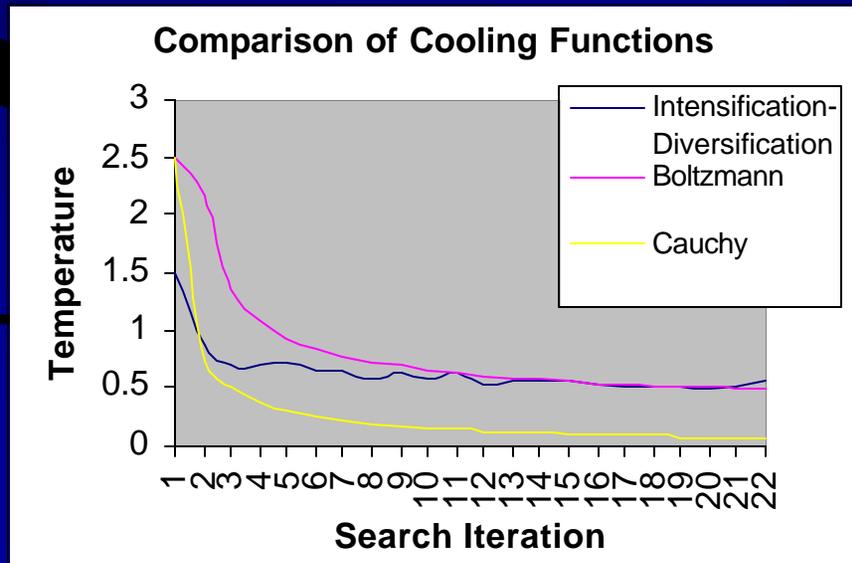
- The purpose of dynamic learning rates is to search the space broadly at first to find a good local basin.
- When a good local basin has been found, restrict the search to find the local optimum there.

# Learning Rates Application

- Probability of accepting a suboptimal step (step confidence)
  - For PAC cool selection confidence
- Step size (exploration)

# Cooling Rates

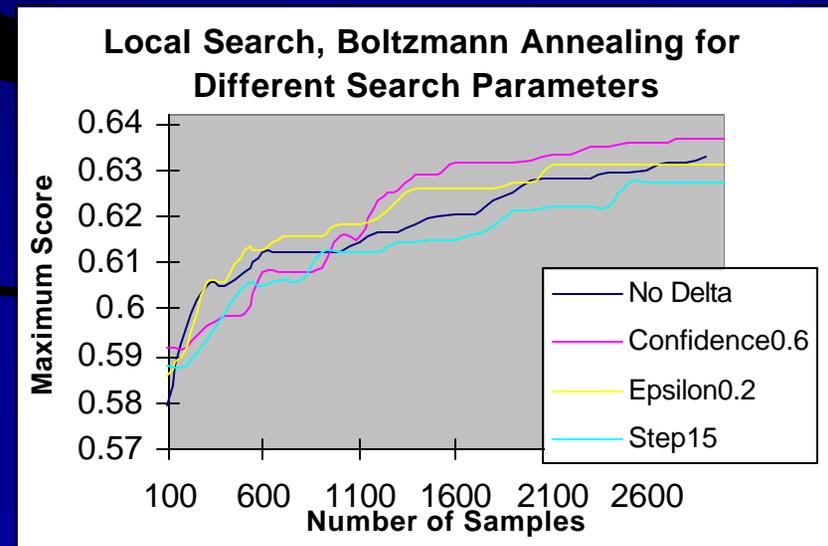
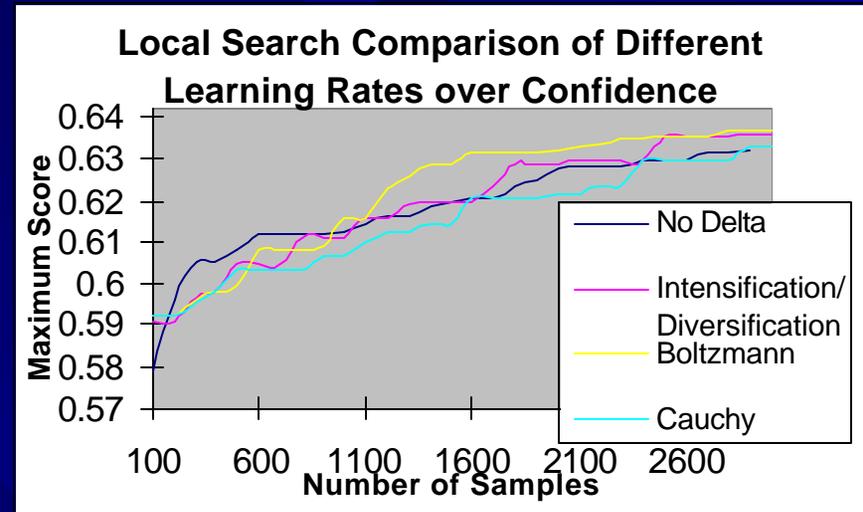
- Intensification/Diversification
  - Based on gain from initial step (Tabu)
- Boltzman Annealing
  - Cooling based on temperature analogue
- Cauchy
  - Steeper cooling than Boltzman



# Local Search

■ Confidence

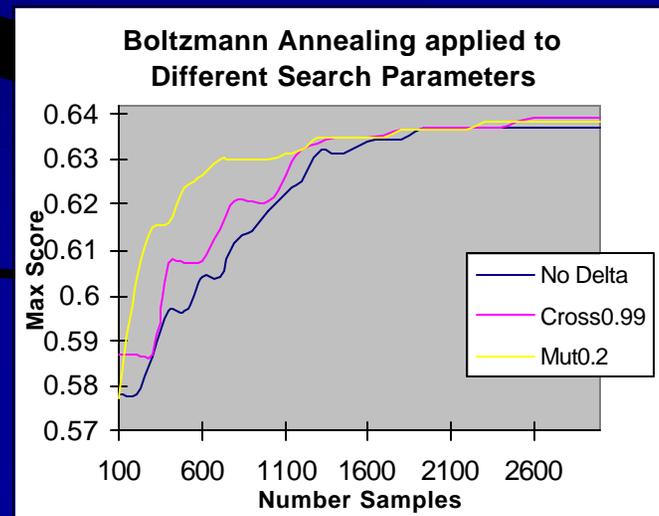
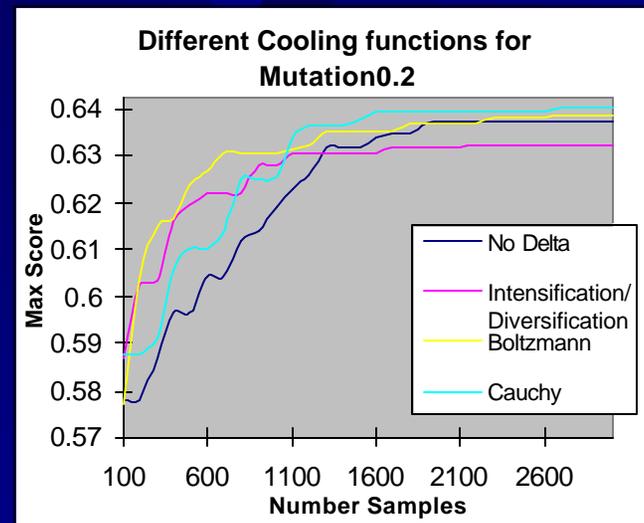
■ Boltzman over different parameters



# Genetic Search

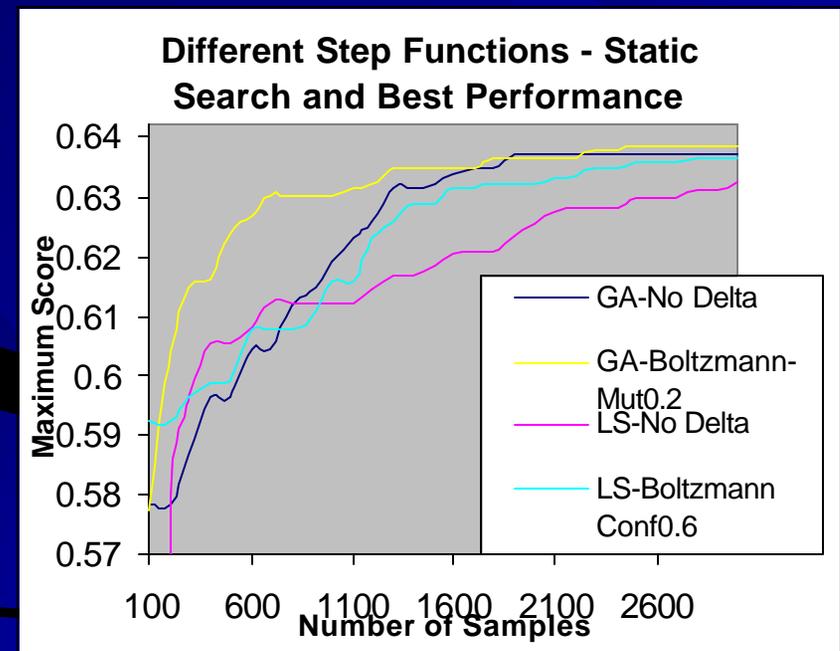
■ Different Cooling for mutation rate

■ Boltzman for varying parameters



# Learning Rates

- Best performing algorithms comparison
  - Genetic Algorithms perform best
  - Enable learning to occur in roughly half the samples with Boltzman on mutation rate



# Current Work

- Continue to perform landscape analysis
  - measure, characterize epistasis of search space, covariance for dimensions
  - number of local maxima, average distance between local maxima
  - correlation distance function
- Include gradient searches
- Use meta-level learning to inform strategy search techniques.

# Current Work 2

- Implement and test alternate decision criteria that do not assume normal distribution of hypothesis utilities:
  - Chebyshev's inequality
  - Chernoff bounds
  - Bernstein's inequality

# Conclusions

- Safe Learning key to enabling new classes of space exploration missions
- Presented specific work in using:
  - local search for stochastic optimization
  - Applied to choosing heuristics for planner
- Results:
  - Improved on human expert solutions in two domains
  - Showed smoothness of search space using two different step functions
  - Illustrated “valley hypothesis,” human expert hypothesis actually lie in large local minimum
  - Preliminary results that varying learning rate can improve performance